

MANITOBA HORSE RACING COMMISSION

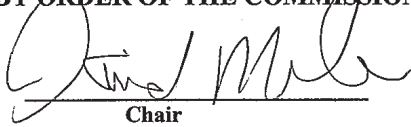
Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/01

All previous Directives are hereby rescinded.

BY ORDER OF THE COMMISSION


Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/02

For the purpose of Rule 3 (20) a), Rule 8 (6) a) and Rule 25 (3), it is hereby directed that same be interpreted and applied as follows:

- a) any financial dispute referred to the Commission shall be forthwith directed to the Executive Director who shall immediately convene a meeting between the parties to the dispute.**
- b) at such meeting the parties to the dispute may elect, in writing:**
 - i) to have the matter mediated by the Executive Director, or Authorized Person; or**
 - ii) to have the matter submitted for binding arbitration to the board of Commissioners; or**
 - iii) to resolve the matter by civil law proceedings.**
- c) any recommendation made by the Executive Director or Authorized Person arising from the mediation referred to in section (b) i) hereof shall not be binding on the parties to the dispute; but any mediated settlement may be enforced by the commission at its discretion in accordance with its authorized powers. Any dispute not fully resolved within 10 days of the institution of mediation proceedings shall be submitted for binding arbitration pursuant to section (b) ii) hereof if so agreed by the parties; and in the absence of such agreement, the matter shall be resolved by civil law proceedings unless the Commission decides, in it's sole discretion, to adjudicate the matter.**
- d) while any dispute is under consideration by the Executive Director, Authorized Person, or Commission pursuant to this Directive, the Stewards may, at the direction of the authority conducting the**

Mediation or arbitration, undertake investigations of the matter in dispute, and exercise any of the powers delegated to the Stewards by the Rules of Racing.

- e) any arbitration hereunder shall be subject to The Arbitration Act, CSSM and amendments thereto; any arbitration order may be enforced by the Commission at its discretion in accordance with its authorized powers.**
- f) in the event the parties do not agree to the within method of dispute resolution the Commission, in it's sole discretion, may adjudicate the matter and its ruling is final and binding on the parties.**

BY ORDER OF THE COMMISSION



Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/03

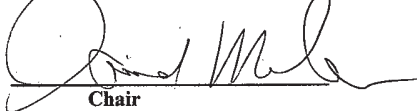
For the purpose of Rule 29 (7) it is hereby directed that it be interpreted and applied as follows:

No jockey agent shall act at the same time for more than two jockeys and one apprentice jockey, except with permission of the Commission or Authorized Person.

And further, for the purpose of Rule 29 (7), unless this Directive be amended or rescinded, Jockey Agents will be permitted to represent two journeymen riders plus one apprentice or two journeymen riders and one rider new to Assiniboia Downs.

In this context "new" shall mean any rider who has not had 50 lifetime mounts at Assiniboia Downs prior to the date hereof.

BY ORDER OF THE COMMISSION


Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

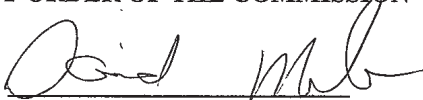
Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/04

Commencing June 21st 2004 the MHRC will interpret and enforce smoking rule 43 (3) h) in accordance with the Association smoking rule attached hereto as maybe amended from time to time.

BY ORDER OF THE COMMISSION

A handwritten signature in black ink, appearing to read "David M. B.", is written over a horizontal line.

Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

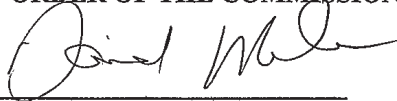
THOROUGHBRED RULES

DIRECTIVE 2011/05

For the purpose of Rule 32 (13), it is hereby directed that it be interpreted and applied as Follows:

Overnight races do not included open allowance or stake prep races.

BY ORDER OF THE COMMISSION

A handwritten signature in black ink, appearing to read "David White", is written over a horizontal line.

Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

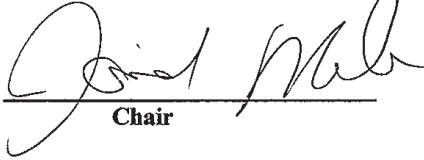
THOROUGHBRED RULES

DIRECTIVE 2011/06

For the purpose of Rule 32 (15), it is hereby directed that it be interpreted and Applied as follows:

Stake includes open allowance and stake prep races.

BY ORDER OF THE COMMISSION



Chair

Date; 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/07

With regard to Rule 44 (2)

A trainer will be deemed to have under his “care & control” a banned substance if the banned substance is found in his shedrow. The trainer may be absolved of his responsibility if:

- a) he proves he had no knowledge of the substance**
- b) he proves that he could not have had knowledge if acting reasonably in accordance with industry standards**
- c) he proves that no employee of his had knowledge and could not have had knowledge acting reasonably as per industry standards**

All proof is on the balance of probabilities. This Directive does not alter a persons Responsibility for banned substances found elsewhere as set out in the Rules.

BY ORDER OF THE COMMISSION


Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

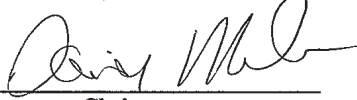
Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/08

For the purpose of Rule 3 (16) d) it is directed that the MHRC license is to be carried by the license holder in such manner that it is displayed in a visible area on their person at all times.

BY ORDER OF THE COMMISSION

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Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

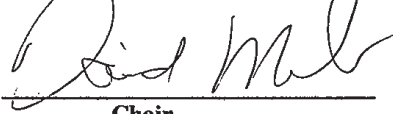
Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/09

For the purpose of Rule 4 (1) f), appellant means, an appellant or any other person.

BY ORDER OF THE COMMISSION

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Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

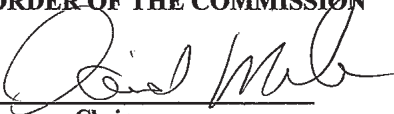
Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/10

For the purpose of Rule 9 (19) a horse may be entered into the lasix program without proof it is a bleeder.

BY ORDER OF THE COMMISSION



Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

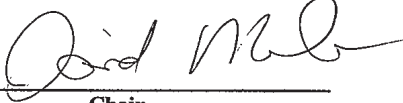
Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/11

For the purpose of Appeals and Protests the Commission directs that the required deposit is \$500.00 for Rule 6 (2), 38 (11) a) and Rule 38 (14); and \$300.00 for all other Appeals and Protests.

BY ORDER OF THE COMMISSION



A handwritten signature in black ink, appearing to read "D. M. B.", is written over a horizontal line.

Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

THOROUGHBRED RULES

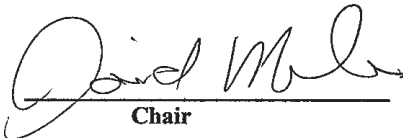
DIRECTIVE 2011/12

For the purpose of Rule 8 (6) a), (regulating conduct of licensees) the MHRC adopts the Standards of Conduct as set out in the Provincial, Federal and Municipal Statutes and Regulations regarding:

- a) racial, sexual or other discriminating practices**
- b) any form of harassment**

A failure to meet these prescribed standards is a breach of the Rules of Racing.

BY ORDER OF THE COMMISSION



Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/13

For the purpose of Rule 6 (10) d) it is hereby directed that for the purpose of an Appeal under Rule 6 (2), each party will be allowed 10 minutes to state their position and 3 minutes of final argument. There will be no witnesses except those called by the Commission and no cross examination except by the Commission.

BY ORDER OF THE COMMISSION



Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION
Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/14

i) The Executive Director in his sole discretion may allow a horse to run in its first start of the year at ASD if a horse is in substantial compliance with the requirements of this directive – There is **NO APPEAL**.

ii) A horse shipping to ASD for a Stake race shall be eligible to run in that race if the horse meets the requirements as set out in this Directive or would be eligible to run if the race were being run at the race track at which the horse last started on the date of the scheduled Stake race at ASD.

iii) For purpose of Rule 1 (rr) and Rule 31 (17) the prescribed minimum distances and times to be recognized as an official workout are as follows:

Horses entering to run less than 6 furlongs:

- a) A horse which has not started for period of 30 days or more shall not be allowed to enter into a race unless it has one official recorded workout of not less than 3 furlongs.
- b) A horse which has not started for a period of 45 days or more shall not be allowed to enter unless there has been one official recorded workout of not less than 4 furlongs within 30 days of entry.
- c) A horse which has not started for a period of 60 days or more shall not be allowed to enter unless it has two official recorded workouts. One workout shall not be less than 4 furlongs. Workouts must be within 30 days of entry.
- d) A horse which has not started for a period of one year or more shall not be allowed to enter unless it has two official recorded workouts of not less than 4 furlongs. One workout shall be approved by the commission veterinarian and one shall be from the starting gate within 30 days of entry.

Horses entering to run 6 furlongs or longer:

- e) A horse which has not started for a period of 30 days or more shall not be allowed to enter into a race unless it has one official recorded workout of not less than 3 furlongs;
- f) A horse which has not started for a period of 45 days or more shall not be allowed to enter unless there has been one official recorded workout of not less than 4 furlongs within 30 days of entry.
- g) A horse which has not started for a period of 60 days or more shall not be allowed to enter unless it has two official recorded workouts. One shall not be less than 5 furlongs. Both workouts must be within 30 days of entry.
- h) A horse which has not started for a period of one year or more shall not be allowed to enter unless it has three official recorded workouts within 45 days of entry. One shall not be less than 5 furlongs and be approved by the Commission Veterinarian and be within 30 days of entry. One workout must be from the starting gate within the current meeting (if gate workout is outside of 45 days of entry, horse is still required to have three official recorded workouts within 45 days).
- i) Two year olds and first lifetime starters shall not be allowed to enter unless it has two official recorded workouts. Both workouts must be within 30 days of entry. One shall not be less than 4 furlongs.
- j) If entered at a distance of 6 furlongs or longer one workout shall not be less than 5 furlongs.
- k) Horses must have one official recorded workout from starting gate within 60 days of entry.

The minimum time to be recognized as an official workout are as follows:

3 furlongs – 40 (dogs up 41) two year olds 40 (dogs up 42)

4 furlongs – 53 (dogs up 55) two year olds 54 (dogs up 56)

5 furlongs – 1:07 (dogs up 1:08) two year olds 1:07 (dogs up 1:09)

6 furlongs – 1:18 (dogs up 1:19&3) two year olds 1:19 (dogs up 1:21)

7 furlongs – 1:34

1 mile – 1:48

iv) All workouts must be in qualifying times, must be for the full distance and any horse coming of the Vet's list must have a 4 furlong workout approved by the Commission Veterinarian except if on the Vet's list for a reason other than soundness the required workout, if any, is at the discretion of the Stewards.

v) For the purpose of Rule 31 (17) and Directive 14/2011 it is hereby directed that it be interpreted and applied as follows:

All workouts must be in qualifying times, must be for the full distance.

vi) For the purpose of Rule 31 (17) a) & b) a work will not be considered satisfactory, unless original registration papers have been filed with the race secretary at the time of the work.

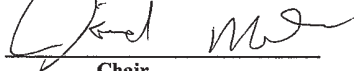
vii) For the purpose of Rule 1 (rr) and Rule (17) the Executive Director may, in his sole discretion, allow a horse to enter if:

a) the horse was previously entered into a race and was eligible to do so but the race did not go;

b) the Executive Director is notified prior to the re-entry of the horse.

Executive Director may also vary the required times, due to track conditions, provided that it is done prior to the workout.

BY ORDER OF THE COMMISSION



Chair

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/15

For the purpose of Rule 3 – licensing - it is hereby directed that it be interpreted and applied as follows:

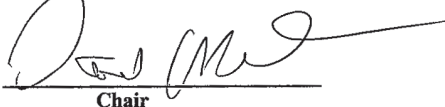
No owner or trainer, either directly or by authorized agent, whose spouse has access to veterinary records by virtue of his/her employment may claim a horse which has been examined by the spouse's employer within one month of the date of proposed claim unless the said owner or trainer has received permission from the MHRC, MHRC Chair or Vice Chair or Executive Director.

The owner or trainer wishing to obtain permission shall apply to the MHRC prior to or forthwith after the commencement of the spouses' employment.

The MHRC may in its sole discretion allow the application with or without conditions or deny the application. The MHRC may at any time review the matter and vary the original decision.

In the event the application is dealt with by the Chair, Vice Chair, or Executive Director it will remain in effect until it is considered by the MHRC as whole.

BY ORDER OF THE COMMISSION



Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

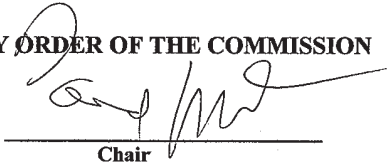
THOROUGHBRED RULES

DIRECTIVE 2011/16

For the purpose of Rule 35 (37) it is hereby direct that it is applied as follows:

No protest or appeal concerning the validity of claim may be made solely on basis that there was a perceived conflict of interest between any of the interested parties.

BY ORDER OF THE COMMISSION



A handwritten signature in black ink, appearing to be 'D. J. ...', is written over a horizontal line. Below the line, the word 'Chair' is printed.

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/17

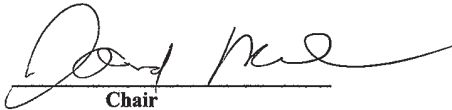
For the purpose of Rule 31 (1) – Eligibility – If a horse is entered by or on behalf of an owner(s) and that horse would be eligible to start except by reason of one of his owners or trainer not being licensed by reason of that person's failure to provide the required police report the following shall apply:

The horse shall be scratched without penalty unless all owners and the trainer sign the form attached hereto as Schedule A, which Schedule forms part of this directive. If the form is signed prior to entry the horse will be permitted to start but any purse won will be held by the MJC and distributed as ordered by the MHRC. If the requirements as set out in Schedule A are not met, the purse will be distributed as if the horse was a non-starter. The jockey and trainer (if the trainer is not the offending party) will be entitled to their respective commissions as if the horse was a starter.

The owner or trainer making the entry may be disciplined, at the discretion of the Stewards, for entering an ineligible horse notwithstanding that the requirements of Schedule A are ultimately met.

This directive applies to the first time a horse is entered by or on behalf of an owner/trainer who is unlicensed due to failure to provide required police report and to all horses entered by or on behalf of said person within seven days of the entry of the first horse.

BY ORDER OF THE COMMISSION


Chair

Date: 04/02/11

SCHEDULE A TO DIRECTIVE 2011/17

**We the undersigned owner(s)-trainer of the horse _____
Acknowledge and agree that:**

- 1. The horse is ineligible to start in the _____ race _____ day
of _____, 2011 only by reason of _____
having not provided the required police report and is therefore unlicensed.**
- 2. If the horse is permitted to run the purse will be held by the MJC and distributed on
direction by the MHRC.**
- 3. If the required police report is not furnished within seven days of entry (which time may be
extended by the MHRC Chair or Vice-Chair or Executive Director) the horse will be
disqualified from purse money.**
- 4. If a police report is furnished which is not satisfactory to the MHRC due to its contents as a
result of which the owner in question is denied a license the horse will be disqualified from
purse money.**
- 5. In the event the horse is disqualified as set you herein all of the consequences as set out in
the Directive will apply.**

Signed this _____ day of _____, 2011

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

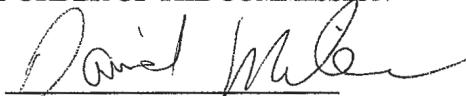
THOROUGHBRED RULES

DIRECTIVE 2011/18

For the purpose of Rule 8 (11) the MHRC designates the following offences as administrative:

- a) Eligibility – Rule 43 (3);**
- b) Weight – Rule 34 (15);**
- c) No Parking – Rule 43 (3) g);**
- d) Smoking or fires – Rule 43 (3) h);**
- e) Not displaying MHRC license – Directive 08/2011**

BY ORDER OF THE COMMISSION



Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/19

For the purpose of Rule 35 (2) as it has not been published as required by Rule 2 (14), 2 (15) and 2 (16) it is not in effect.

BY ORDER OF THE COMMISSION


Chair

Date: 04/02/11

MANITOBA HORSE RACING COMMISSION

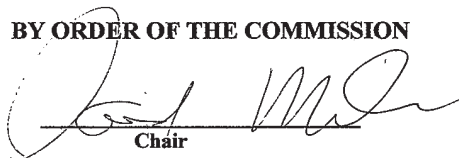
Assiniboia Downs Meeting

THOROUGHBRED RULES

DIRECTIVE 2011/20

With regard to Rule 1 (gg) a recognized track means a track on which a charted line is available from either the Daily Racing Form or True Form.

BY ORDER OF THE COMMISSION



Chair

Date: 04/02/11